

JC568 U.S. PTO  
12/23/99

Attorney Docket No. 2271/60895  
Total Pages 3  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS  
Box Patent Application  
Washington, D. C. 20231

JC568 U.S. PTO  
09/471345  
12/23/99

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Kyohji HATTORI, Fumiya OHMI, Kenichi AIHARA, Katsuyuki YAMADA, Yuki NAKAMURA, Eiji NODA,  
Michihisa TAKAHASHI, Yujiro KANEKO and Yukio IDE For  
Inventor(s)

INITIALIZATION OF PHASE-CHANGE OPTICAL RECORDING MEDIUM  
Title of Invention

APPLICATION ELEMENTS ENCLOSED:

1. ☒ Specification (total pages 23 including:
  - a. 5 pages of claims (13 claims)
  - b. 1 page(s) Abstract
2. ☒ 7 sheets of      informal ☒ formal drawings (Figs. 1-7)
3.      Oath or declaration of Applicant(s) ( 2 total pages)
  - a.      Newly executed (original or copy)
  - b.      Unexecuted
  - c.      Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Item 14 completed)
4.      Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5.      Incorporation By Reference  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.      Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7.      An assignment of the invention to                      (cover sheet & document(s))
8.      37 CFR 3.73(b) Statement (when there is an assignee)
9.      Power of Attorney
  - a.      Newly executed (original or copy)
  - b.      Unexecuted
  - c.      Copy from a prior application

10. \_\_\_ Information Disclosure Statement (IDS)/PTO-1449  
\_\_\_ Copies of IDS Citations
11. \_\_\_ A Preliminary Amendment
12. X Return Receipt Postcard (MPEP 503)
13. \_\_\_ A small entity statement under 37 C.F.R. §1.9 and §1.27  
\_\_\_ Statement filed in prior application, status still proper and desired
14. \_\_\_ If a Continuing Application, *check the appropriate box and supply the requisite information:*  
☐ Continuation of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_  
  
☐ Divisional of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_  
  
☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_
15. \_\_\_ Amend the specification by inserting before the first line of page one: --This is a \_\_\_ continuation  
\_\_\_ divisional of application Serial No. \_\_\_\_\_ filed \_\_\_\_--
16. X Priority of application No. 10-367529 filed in Japan on December 24, 1998. Applicants  
hereby claim priority under 35 U.S.C. § 119.  
X A certified copy of priority application No. 10-367529 is enclosed  
\_\_\_ A certified copy of priority application No. \_\_\_\_\_ has been filed in prior application  
S.N. \_\_\_\_\_ filed \_\_\_\_\_
17. \_\_\_ Other (identify) \_\_\_\_\_

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	Number Filed		Number Extra*		Rate			Fee	
					Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	13 - 20	=	0	x	\$ 9	\$ 18	=	\$	\$ 0
Indep. Claims	2 - 3	=	0	x	\$ 39	\$ 78	=	\$	\$ 0
Multiple Dependent Claims Presented: Yes ___ No <u>X</u>					\$130	\$260	=	\$	\$ 0
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$ 380	\$ 760
					Total Fee				\$ 760


18. X A check in the amount of \$ 760.00 to cover the filing fee.
19. \_\_\_ Please charge Deposit Account No. 03-3125 in the amount of \$ \_\_\_ for recordation of the Assignment.
20. X The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.
- X Filing fees under 37 C.F.R. § 1.16.
- X Patent application processing fees under 37 C.F.R. §1.17.
- \_\_\_ The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
21. X Three copies of this sheet are enclosed.
22. \_\_\_ Other (identify) \_\_\_\_\_

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Respectfully submitted,

December 23, 1999  
Date

  
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Kyohji HATTORI, et al.

Serial No. :


Group Art Unit:

Date Filed : Concurrently Herewith

Examiner:

For : INITIALIZATION OF PHASE-CHANGE  
OPTICAL RECORDING MEDIUM1185 Avenue of the Americas  
New York, N.Y. 10036Assistant Commissioner for Patents  
**Box Patent Application**  
Washington, D.C. 20231**EXPRESS MAIL**  
**LETTER OF TRANSMITTAL**Express Mail mailing label number: EM157608900USDate of Deposit: December 23, 1999

I hereby certify that the above-identified application (consisting of a 23-page specification (including 5 pages of claims (13 claims) and a 1 page Abstract), and 7 sheets of formal drawings (Figs. 1-7)), 3 copies of an Application Transmittal Form, certified copy of Japanese Appln. No. 10-367529, and a check for the \$760 filing fee, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Mail Room Employee  
Depositing Express Mail MaterialAttorney for Applicant:  
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